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PATENT & TRADEMARK OFFICE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **1**

Complete If Known

Application Number	10/014,112
Filing Date	December 11, 2001
First Named Inventor	Harold A. Ludtke
Art Unit	2161 3621
Examiner Name	Not Yet Assigned
Attorney Docket Number	SONY 3.0-0220

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
SLC	AA	5,744,787	04-28-1998	Teicher	
SLC	AB	5,521,362	05-28-1996	Powers	
SLC	AC	5,461,217	10-24-1995	Claus	
SLC	AD	5,221,838	06-22-1993	Gutman et al.	
SLC	AE	5,550,358	08-27-1996	Tait et al.	
SLC	AF	5,748,737	05-05-1998	Daggar	
SLC	AG	5,856,659	01-05-1999	Drupsteen et al.	
SLC	AH	5,878,138	03-02-1999	Yacobi	
SLC	AI	5,936,220	08-10-1999	Hoshino et al.	
SLC	AJ	5,945,652	08-31-1999	Ohki et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ -Number-Kind Code ⁴ (if known)				

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

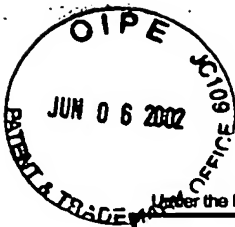
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SLC	CA	U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method Or System For Executing Deferred Transactions".	
SLC	CB	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
SLC	CC	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	

Examiner Signature	S. Conig'g.losi	Date Considered	12/04
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/014,112
				Filing Date	December 11, 2001
				First Named Inventor	Harold Aaron Ludtke
				Art Unit	2461 3621
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
gk	AA	5,737,701	04/07/98	Rosenthal et al.	
gk	AB	6,105,010	08/15/00	Musgrave	
	AC				
	AD				
	AE				
	AF				
	AG				
	AH				
	AI				
	AJ				

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
gk	BA	WO 99/06928	02/11/99		

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

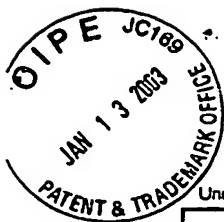
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
gk	CA	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.	
	CB		
	CC		

Examiner Signature	<i>P. Congielesi</i>	Date Considered	12/04
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/014,112		
		Filing Date	December 11, 2001		
		First Named Inventor	Harold A. Ludtke		
		Art Unit	2161		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
gnc	AA	4,582,985	04-15-1986	Löfberg	
gnc	AB	5,615,277	03-25-1997	Hoffman	
gnc	AC	5,623,552	04-22-1997	Lane	
gnc	AD	5,990,804	11-23-1999	Koyama	
gnc	AE	6,002,787	12-14-1999	Takhar et al.	
gnc	AF	6,119,096	09-12-2000	Mann et al.	
gnc	AG	5,557,518	09-17-1996	Rosen	
gnc	AH	5,761,308	06-02-1998	Torii et al.	
gnc	AI	5,943,423	08-24-1999	Muftic	
gnc	AJ	6,029,150	02-22-2000	Kravitz	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
gnc	BA	WO-95/13591	05-18-95	Takhar	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
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gnc	CA	Pack: "Traditional Retailers Plan Interactive Stores", Orlando Sentinel, May 25, 1995.	

Examiner Signature	S. Campbell	Date Considered	12/04
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete If Known		
			Application Number	10/014,112	
			Filing Date	December 11, 2001	
			First Named Inventor	Harold A. Ludtke	
			Art Unit	2464 3621	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>PL</i>	AA	US-6,002,770	12-14-1999	Tomko et al.	
<i>SL</i>	AB	US-5,870,723	02-09-1999	Pare, Jr. et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
	BA	WO-01/008055 A1	02-01-2001	Taylor		
	BB	WO-01/22351 A1	03-29-2001	Black		
	BC	WO-01/050428 A1	07-12-2001	Mitchell		
	BD	WO-01/59732 A2	08-16-2001	Shore		
	BE	EP-1 085 424 A1	03-21-2001	Yasukura et al.		
	BF	EP-1 033 687 A2	09-06-2000	Alt et al.		

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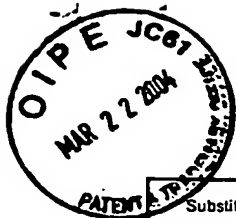
NON PATENT LITERATURE DOCUMENTS			
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Examiner Signature	<i>Harold A. Ludtke</i>	Date Considered	12/04
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Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	10/014,112
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-020

U.S. PATENT DOCUMENTS					
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		Number-Kind Code ² (if known)			
SL	AA	US-5,329,589	07-12-1994	Fraser et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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